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Docket No.: 1289-002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
PATENT OPERATIONS

In re Application of: )  
Orapin P. Rubino, et al ) Group Art Unit:  
Serial No.: 10/728,196 ) Examiner: --  
Filed: December 4, 2003 )

For: **METHOD OF PREPARING BIOLOGICALLY ACTIVE  
FORMULATIONS**

New York, NY 10036  
March 30, 2007

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

This Information Disclosure Statement is being filed under the provisions  
of 37 CFR§1.97.

International Search Report      Lists references cited by the ISA.  
March 19, 2004

International Search Report      Lists references cited by the ISA.  
September 6, 2005

US Re. 32,307

US 4,292,298

US 4,588,366

US 4,617,191

US 4,783,337

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US 4,895,733

US 4,898,737

US 4,900,557

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US 5,049,394

QUEMA

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US 5,132,142

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US 6,322,813

US 6,354,728

US 6,379,706

US 6,387,404

US 6,449,869


US 6,586,010

EP 0 701 815  
(with English abstract)

Discloses a matrix type of controlled release  
pharmaceutical tablet.

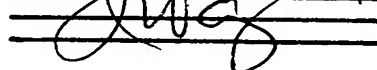
EP 0 378 404	Discloses controlled release tablet which contains a hydrogel.
WO 91/18590	Discloses a process for the manufacture of porous cellulose particles.
WO 93/07859	Discloses a process for making pharmaceutical pellets.
WO 94/28882	Discloses a tablet made up of two or more populations pellets.
WO 00/16886 (with English abstract)	Discloses a device consisting of a rotor chamber for the production of pourable products.
ZA 2000169	Discloses preparation of pellets with high water solubility.

Respectfully submitted,

  
James V. Costigan  
Registration No. 25,669

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PTO/SB/08A (09-06)

Approved for use through 03/31/2007. OMB 0651-0031

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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**Complete if Known**

Application Number	10/728,196
Filing Date	December 4, 2003
First Named Inventor	Orapin P. Rubino
Art Unit	1615
Examiner Name	--
Attorney Docket Number	1289-002

Sheet 1 of 4

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
	AA	US- Re. 32,307	12-16-1986	Werner Glatt, et al	
	AB	US- 4,292,298	09-29-1981	Adrian F. Davis	
	AC	US- 4,588,366	05-13-1986	Werner Glatt, et al	
	AD	US- 5,284,678	02-08-1994	Glatt GmbH	
	AE	US- 6,387,404	05-14-2002	Benjamin Oshlack, et al	
	AF	US- 5,753,265	05-19-1998	Astra Aktibolag	
	AG	US- 6,183,776	02-06-2001	Astra Aktibolag	
	AH	US- 4,783,337	11-08-1988	ALZA Corporation	
	AI	US- 5,082,668	01-21-1992	ALZA Corporation	
	AJ	US- 6,322,813	11-27-2001	Pharmacia AB	
	AK	US- 4,617,191	10-14-1986	Glatt GmbH	
	AL	US- 5,132,142	07-21-1992	Glatt GmbH	
	AM	US- 5,637,320	06-10-1997	Elan Corporation	
	AN	US- 5,817,338	10-06-1998	Astra Aktibolag	
	AO	US- 4,994,279	02-19-1991	Aoki, Shigeru, et al	
	AP	US- 5,783,215	07-21-1998	Arwidsson, Hans, et al	
	AQ	US- 5,891,474	04-06-1999	Busetti, Cesare, et al	
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	AS	US- 4,292,298	09-29-1981	Davis, Adrian F.	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
	AA	EP 0 378 404 A2	07-18-1990	Pfizer, Inc.		
	BB	ZA 20000169	01-17-2000	Gruenthal GmbH		
	CC	EP 0 701 815	03-20-1996	Alfatec Pharma GmbH		
	DD	WO 91/18590	12-12-1991	Pharmacia AB		
	EE	WO 00/16886	09-24-1998	Glatt Systemtechnik Dresd		
	FF	WO 94/28882	12-22-1994	Chih-Ming Chen		

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Application Number	10/728,196
Filing Date	December 4, 2003
First Named Inventor	Orapin P. Rubino
Art Unit	1615
Examiner Name	--
Attorney Docket Number	1289-002

Sheet **2** of **4****U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
	AT	US- 4,820,521	04-11-1989	Donald E. Panoz, et al	
	AU	US- 4,826,688	05-02-1989	Donald E. Panoz, et al	
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	BH	US- 5,458,888	10-17-1995	Andrx Pharmaceuticals	
	BI	US- 5,549,912	08-27-1996	Euro-Celtique, S.A.	
	BJ	US- 5,709,885	01-20-1998	Leena Hellen, et al	
	BK	US- 5,807,579	09-15-1998	F.H. Faulding & Co. Ltd	
	BL	US- 5,807,583	09-15-1998	Henning Kristensen	

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		Country Code <sup>3</sup> -Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
		WO 93/07859	04-29-1993	Warner-Lambert Company		

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Sheet 3 of 4

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	BN	US- 6,132,771	10-17-2000	AstraZeneca AB	
	BO	US- 6,136,344	10-24-2000	Astra Aktiebolag	
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	BT	US- 6,379,706	04-30-2002	Roche Diagnostics GmbH	
	BU	US- 6,449,869	09-17-2002	Glatt Systemtechnik Dresden GmbH	
	BV	US- 5,958,458	09-28-1999	Dumex-Alpha A/S	
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		First Named Inventor	Orapin P. Rubino		
		Art Unit	1615		
		Examiner Name	--		
Sheet	4	of	4	Attorney Docket Number	1289-002

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	A	International Search Report, March 19, 2004	
	B	International Search Report, September 6, 2005	
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